

Heat capacity of diatomic gas

Experiments

①

Harmonic oscillator

$$E_m = \hbar \omega \left(m + \frac{1}{2}\right)$$

$$Z = \sum_n e^{-\beta E_m} = \frac{e^{-\beta \frac{\hbar \omega}{2}}}{1 - e^{-\beta \hbar \omega}}$$

$$\langle E \rangle = -\partial_\beta \ln Z = \frac{\hbar \omega}{2} + \frac{\hbar \omega e^{-\beta \hbar \omega}}{1 - e^{-\beta \hbar \omega}}$$

Low temperature $\langle E \rangle = \frac{\hbar \omega}{2} + \hbar \omega \sum_{n=1}^{\infty} e^{-\frac{n \hbar \omega}{k_B T}}$

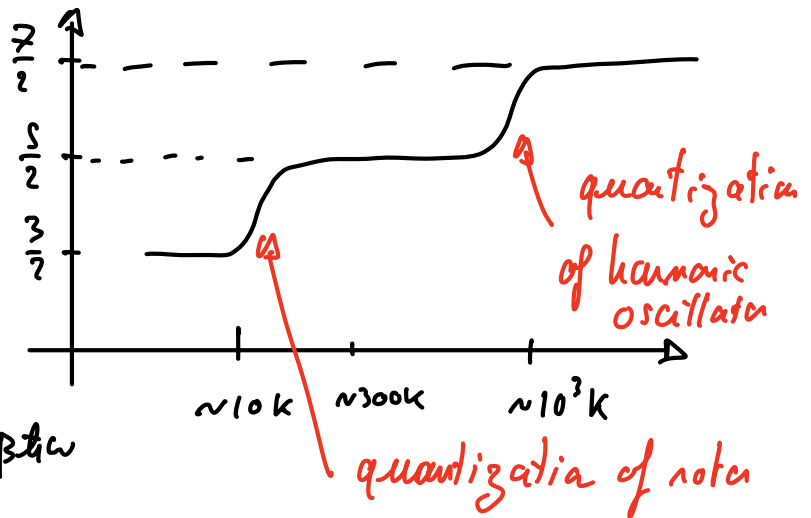
Quantum states populated upto $E_m \sim k_B T$

≈ 1 if $k_B T \gg n \hbar \omega$
 ≈ 0 if $k_B T \ll n \hbar \omega$

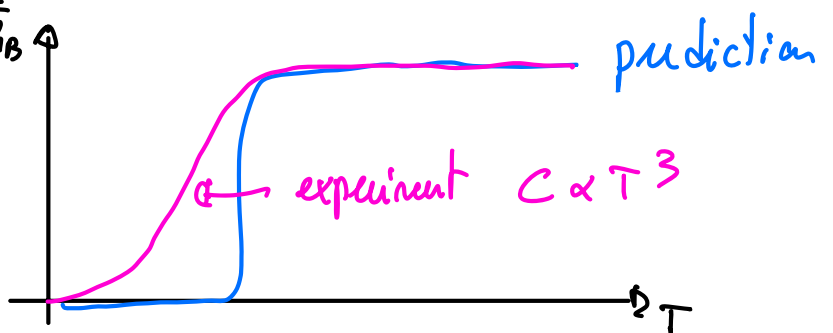
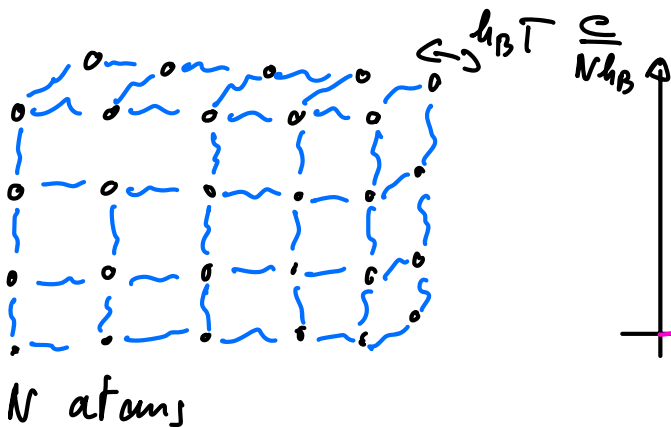
$$C_V \sim \frac{\hbar^2 \omega^2}{k_B T^2} e^{-\beta \hbar \omega}$$

$\Rightarrow \Delta T$ gives very little energy at $T=0$

since $E_1 = \hbar \omega \frac{3}{2}$ is very far & unlikely to be populated.



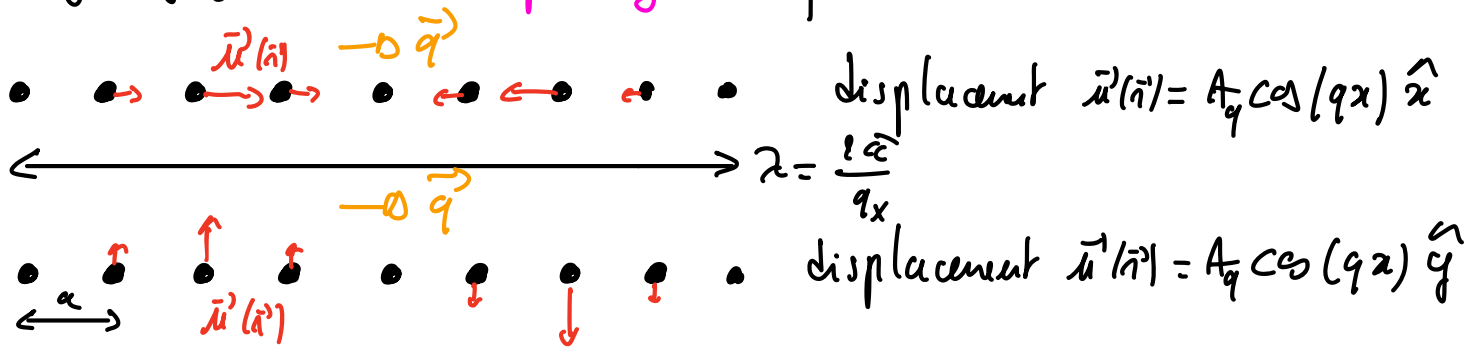
5.2) Heat capacity of solids



Vibrations in solids: The longer the wavelength λ of the deformation, the cheaper the energy cost.

(i) For small deformations, the Hamiltonian of the deformations can be considered as (2) a superposition of independent harmonic oscillators of frequency $\omega(\vec{q})$, where $\vec{q}$ is the wave vector, such that $\omega(\vec{q}) \rightarrow 0$ as $|\vec{q}| \rightarrow 0$.

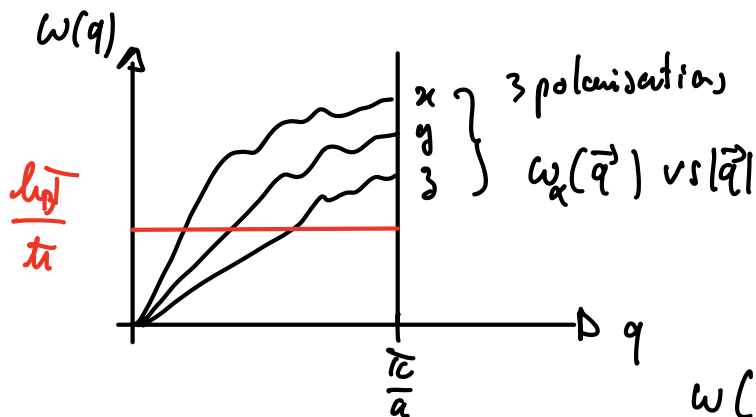
(ii) A periodic modulation along $\vec{q}$ can correspond to displacements $\vec{u}(\vec{r})$ along $\hat{x}, \hat{y}, \hat{z} \Rightarrow$ three polarizations for the wave



(iii) Symmetry $\Rightarrow E(\vec{q}) = E(-\vec{q}) \Rightarrow E \propto \lim_{q \rightarrow 0} \vec{q}^2 A_q^2$, with A_q the amplitude of the mode $\vec{q}$

Since $E \propto \omega^2$ for a harmonic oscillator, $\omega(\vec{q}) \propto |\vec{q}| \Rightarrow \omega(\vec{q}) = v |\vec{q}|$ as $q \rightarrow 0$

where v is the speed of sound in the solid.



Only modes with $\hbar \omega < k_B T$ are excited

$T \rightarrow$ physics controlled by

$\omega_\alpha(\vec{q}) \sim v_\alpha |\vec{q}| = v(\vec{q})$ for simplicity.

$$\Rightarrow E = \sum_{|\vec{q}| < q_{\max}} E(\vec{q}, \alpha) = \sum_{|\vec{q}| < q_{\max}} \sum_{\alpha} \left[\frac{\hbar \omega(q)}{2} + \frac{\hbar \omega(q) e^{-\beta \hbar \omega(q)}}{1 - e^{-\beta \hbar \omega(q)}} \right]$$

$$E \simeq E_0 + 3 \sum_{\vec{q}} \frac{\hbar v |\mathbf{q}|}{e^{\beta \hbar v |\mathbf{q}|} - 1} \rightarrow \text{Q: how to compute this sum? } (3)$$

From sum to integral

$$\sum_{q_x, q_y, q_z} f(q_x, q_y, q_z) = \frac{V}{(2\pi)^3} \sum_{q_x} \overset{dq_x}{\frac{1}{L}} \sum_{q_y} \overset{dq_y}{\frac{1}{L}} \sum_{q_z} \overset{dq_z}{\frac{1}{L}} f$$

$$\underset{L \rightarrow \infty}{\simeq} \frac{V}{(2\pi)^3} \int d^3\vec{q} f(q_x, q_y, q_z)$$

$$E(T) = E_0 + 3 \frac{V}{(2\pi)^3} \int_0^{q_{\max}} 4\pi q^2 dq \overset{\hbar_B T}{\frac{\beta \hbar v q}{e^{\beta \hbar v q} - 1}}$$

Let's turn this integral into a dimensionless quantity

$$x = \beta \hbar v q \Leftrightarrow q = \frac{x \hbar_B T}{v \hbar} \quad \& \quad dq = dx \frac{\hbar_B T}{v \hbar}$$

$$\Rightarrow E(T) = E_0 + \frac{3V}{2\pi^2} \hbar_B T \left(\frac{\hbar_B T}{v \hbar} \right)^3 \int_0^{x_{\max}} dx \frac{x^3}{e^x - 1} \quad ; \quad x_{\max} \propto \frac{q_{\max}}{T} \xrightarrow{T \rightarrow 0} \infty$$

$$\text{Using that } \int_0^\infty dx \frac{x^3}{e^x - 1} = \frac{\pi^4}{15}, \text{ one finds}$$

$$E(T) \underset{T \rightarrow 0}{\simeq} E_0 + \frac{V \pi^2}{10} \hbar_B T \left(\frac{\hbar_B T}{v \hbar} \right)^3 \quad ; \quad V = N a^3 \quad \text{cube of side } a$$

$$C_V \sim \frac{2\pi^2}{5} N \hbar_B \left(\frac{\hbar_B T a}{v \hbar} \right)^3 \Rightarrow$$

$$\frac{C_V}{N \hbar_B} \simeq \frac{2\pi^2}{5} \left(\frac{T}{\Theta_D} \right)^3$$

where $\Theta_D = \frac{\hbar v}{a \hbar_B} \simeq 10^2 \text{ K}$ is the Debye temperature (1912)

As $L \rightarrow \infty$, $\hbar \omega \rightarrow 0$ & the spectrum becomes gapless. The exponential decay of C_v is replaced by a power law. (4)

Chapter 6: Quantum statistical mechanics

6.1) Density matrix

Quantum microstates:

$(\vec{q}, \vec{p}) \Rightarrow |\Psi\rangle$ unit vector in a Hilbert space $\mathcal{H}$ with scalar product $\langle \Psi_1 | \Psi_2 \rangle$

Basis $|n\rangle$ such that $\langle n | \Psi \rangle$ is the component of $|\Psi\rangle$ along $|n\rangle$

$$|\Psi\rangle = \sum_n \langle n | \Psi \rangle |n\rangle = \sum_n \underbrace{|n\rangle \langle n |}_{\text{projector along } |n\rangle} |\Psi\rangle$$

E.g. basis $|x\rangle$; $\langle x | \Psi \rangle = \Psi(x)$ is the wave function

Observables, fluctuations & averages

Classical observables $O(q, p) \longrightarrow$ Quantum operators, $\hat{O}$

Ex: $\hat{p}$ is such that $\langle x | \hat{p} = -i\hbar \vec{\nabla} \langle x |$

Eigenvectors $|\Psi_{\vec{p}}\rangle$ such that $\langle x | \Psi_{\vec{p}} \rangle = e^{i \frac{\vec{p} \cdot \vec{x}}{\hbar}}$

Indeed, $\langle x | \hat{p} | \Psi_{\vec{p}} \rangle = -i\hbar \vec{\nabla} e^{i \frac{\vec{p} \cdot \vec{x}}{\hbar}} = \vec{p} e^{i \frac{\vec{p} \cdot \vec{x}}{\hbar}} = \langle x | \Psi_{\vec{p}} \rangle$

(5)

$$\Rightarrow \hat{P} |\psi_{\vec{p}}\rangle = \vec{p} |\psi_{\vec{p}}\rangle$$

* If a system is in a state $|\psi\rangle$, the measurement of an observable does not necessarily give deterministic outcome
 $\Rightarrow$ quantum fluctuations

The average over these fluctuations is $\langle \hat{O} \rangle_{QM} = \langle \psi | \hat{O} | \psi \rangle$

* Typically, we do not know in which quantum state a system is.

- If the system has a probability p_α to be in $|\psi_\alpha\rangle$, then, in $|\psi_\alpha\rangle$, we have $\langle \hat{O} \rangle_{QM} = \langle \psi_\alpha | \hat{O} | \psi_\alpha \rangle$

- In addition, the statistical uncertainty due to p_α lead to

$$\langle \hat{O} \rangle = \sum_{\alpha} p_{\alpha} \langle \psi_{\alpha} | \hat{O} | \psi_{\alpha} \rangle$$

$\uparrow$
 probn to be in $|\psi_{\alpha}\rangle$ average of $\hat{O}$ given the system is in $|\psi_{\alpha}\rangle$

Density matrix

$$\hat{\rho} = \sum_{\alpha} p_{\alpha} |\psi_{\alpha}\rangle \langle \psi_{\alpha}|$$

Take any basis $|m\rangle$

$$\begin{aligned} \langle \hat{O} \rangle &= \sum_{\alpha} p_{\alpha} \sum_{m, n} \langle \psi_{\alpha} | m \rangle \langle m | \hat{O} | n \rangle \langle n | \psi_{\alpha} \rangle \\ &= \sum_{m, n} \underbrace{\langle m | \hat{O} | n \rangle}_{\sum_n | \langle n | \hat{O} | n \rangle | = 1} \underbrace{\langle n | \sum_{\alpha} p_{\alpha} |\psi_{\alpha}\rangle \langle \psi_{\alpha}|}_{\hat{\rho}} | m \rangle \end{aligned}$$

$$= \sum_n \langle n | \hat{O} \hat{J} | n \rangle$$

6

$$\langle \hat{O} \rangle = \text{Tr}(\hat{O} \hat{J}) = \text{Tr}(\hat{J} \hat{O})$$

Properties:

positivity $\langle \phi | \hat{J} | \phi \rangle = \sum_{\alpha} p_{\alpha} \underbrace{\langle \phi | \psi_{\alpha} \rangle \langle \psi_{\alpha} | \phi \rangle}_{|\langle \phi | \psi_{\alpha} \rangle|^2} \geq 0$

hermiticity $\hat{J} = \hat{J}^{\dagger}$

Proof: $\langle n | \hat{J}^{\dagger} | h \rangle = (\langle h | \hat{J} | n \rangle)^* = \sum_{\alpha} p_{\alpha} \langle h | \psi_{\alpha} \rangle^* \langle \psi_{\alpha} | n \rangle^*$
 $= \sum_{\alpha} p_{\alpha} \langle n | \psi_{\alpha} \rangle \langle \psi_{\alpha} | h \rangle = \langle n | \hat{J} | h \rangle$

Normalization $\text{Tr}(\hat{J}) = 1$

Proof: $\langle \mathbb{I} \rangle = 1 \iff$

$$\text{Tr}(\hat{J}) = \sum_n \sum_{\alpha} p_{\alpha} \langle n | \psi_{\alpha} \rangle \langle \psi_{\alpha} | n \rangle = \sum_{\alpha} p_{\alpha} \langle \psi_{\alpha} | \underbrace{\sum_n | n \rangle \langle n |}_{\mathbb{I}} | \psi_{\alpha} \rangle$$

$$= \sum_{\alpha} p_{\alpha} \langle \psi_{\alpha} | \psi_{\alpha} \rangle^2 = \sum_{\alpha} p_{\alpha} = 1$$

Quantum vs Thermal mixture

The system is in a single quantum state (pure state) $|\psi\rangle$ iff $\rho^2 = \rho$.
 $\iff \text{Tr}(\rho^2) = 1$

Proof: If $\rho = |\psi\rangle\langle\psi|$, then $\rho^2 = |\psi\rangle \underbrace{\langle\psi|\psi\rangle}_{=1} \langle\psi| = \rho$

Conversely, ρ Hermitian $\implies \rho = \sum_n \lambda_n |\psi_n\rangle\langle\psi_n|$ with $|\psi_n\rangle$

an orthonormal basis. Thus

(7)

$$g^2 = \sum_{h, h'} \lambda_h \lambda_{h'} |\psi_h\rangle \underbrace{\langle \psi_h | \psi_{h'} \rangle}_{\delta_{h, h'}} \langle \psi_{h'} | = \sum_h \lambda_h^2 |\psi_h\rangle \langle \psi_h|$$

$g^2 = g \Rightarrow \lambda_h^2 = \lambda_h \Rightarrow \lambda_h \in \{0, 1\}$. Since $\text{Tr}(g) = \sum_h \lambda_h = 1$, only one λ_h can be equal to 1.

Coherence

* $\langle m | g | m \rangle = \sum_{\alpha} p_{\alpha} \langle m | \psi_{\alpha} \rangle^2 = \text{prob. that the system is measured in } |m\rangle$.

$\Rightarrow$ In a given basis $|i\rangle$, g_{ii} is the prob. the system is measured in $|i\rangle$.

* $\langle m | g | h \rangle = \sum_{\alpha} p_{\alpha} \langle m | \psi_{\alpha} \rangle \langle \psi_{\alpha} | h \rangle \neq 0$ iff there exist a $|\psi_{\alpha}\rangle$ which is a quantum superposition of $|m\rangle$ & $|h\rangle$.

g_{ij} , with $j \neq i$, measures the (average) coherence between $|i\rangle$ & $|j\rangle$.

* This is a basis-dependent property

Evolution

Schrodinger's eq: $i\hbar \partial_t |\psi_{\alpha}\rangle = \hat{H} |\psi_{\alpha}\rangle \Leftrightarrow -i\hbar \partial_t \langle \psi_{\alpha} | = \langle \psi_{\alpha} | \hat{H}^{\dagger} = \langle \psi_{\alpha} | \hat{H}$

$$\begin{aligned} i\hbar \frac{\partial \hat{g}}{\partial t} &= \sum_{\alpha} p_{\alpha} \partial_t |\psi_{\alpha}\rangle \langle \psi_{\alpha}| \\ &= \sum_{\alpha} p_{\alpha} (\hat{H} |\psi_{\alpha}\rangle \langle \psi_{\alpha}| - |\psi_{\alpha}\rangle \langle \psi_{\alpha}| \hat{H}) = \hat{H} \hat{g} - \hat{g} \hat{H} \end{aligned}$$

$$i\hbar \frac{\partial \hat{g}}{\partial t} = [\hat{H}, \hat{g}]$$

$$\Rightarrow \partial_t \langle \hat{o} \rangle = \langle [\hat{H}, \hat{o}] \rangle$$

Steady state: $\partial_t \hat{\rho} = 0 \Leftrightarrow [\hat{H}, \hat{\rho}] = 0$

(8)

As in classical statistical mechanics, $\hat{\rho} = f(\hat{H})$ does the job.

$\Rightarrow \rho_{eq}(H)$ replaced by $\hat{\rho}_{eq}(\hat{H})$

$|n\rangle$ eigenbasis of $\hat{H}$: $\hat{\rho} = \hat{\rho} \sum_n |n\rangle \langle n| = \sum_n f(\hat{H}) |n\rangle \langle n| = \sum_n f(E_n) |n\rangle \langle n|$

$\Rightarrow P_n = f(E_n)$, as in classical stat mech!

Microcanonical:

$$P_n = \begin{cases} 1/\Omega(E) & \text{if } E_n = E \\ 0 & \text{otherwise} \end{cases} ; \Omega(E) = \sum_n \delta_{E_n, E}$$

Entropy $S = k_B \ln \Omega(E)$

Canonical: $P_n = \frac{1}{Z} e^{-\beta E_n} \Leftrightarrow \hat{\rho} = \frac{1}{Z} e^{-\beta \hat{H}}$

$\text{Tr}(\hat{\rho}) = 1 \Rightarrow Z = \text{Tr}(e^{-\beta \hat{H}}) = \sum_n e^{-\beta E_n}$, which validates

the approach of chapters

Grand canonical: $\hat{\rho} = \frac{1}{Q} e^{-\beta \hat{H} + \beta \mu \hat{N}} ; Q(\beta, \mu) = \text{Tr}(e^{-\beta \hat{H} + \beta \mu \hat{N}})$

Comment: Steady state requires $[\hat{\rho}, \hat{H}] = 0$

In the grand canonical, we have either $[\hat{N}, \hat{H}] = 0$ or $\mu = 0$

Since $i\hbar \partial_t \langle \hat{N} \rangle = \langle [\hat{N}, \hat{H}] \rangle$, we need $\mu = 0$ if the number of particles is not conserved (e.g. for photons, due to stimulated emission & absorption).

Maximum entropy & quantum ensembles

9

Defining Gibbs-Shannon entropy $S = -k_B \bar{\ln}(\hat{g} \ln \hat{g})$

JAYNES approach can also be used to build quantum ensembles. [JAYNES, Phys Rev 108, 171, (1957)]

6.2) A particle in a box

$$H = \frac{\hat{p}^2}{2m} ; \langle x | \hat{p} | \psi \rangle = -i\hbar \vec{\nabla} \langle x | \psi(x) \rangle$$

$$H |\psi\rangle = \lambda |\psi\rangle \Rightarrow -\frac{\hbar^2}{2m} \Delta \langle x | \psi \rangle = \lambda \langle x | \psi \rangle \text{ \& } \langle x | \psi \rangle = \psi(x) \text{ periodic}$$

$$\Rightarrow |\psi\rangle = |\vec{h}\rangle, \text{ with } \vec{h} = \frac{2\pi}{L} (n_x, n_y, n_z) \text{ \& } \langle x | h \rangle = \frac{1}{\sqrt{V}} e^{i\vec{h} \cdot \vec{x}}$$

$$\text{Then } H |\vec{h}\rangle = E(\vec{h}) |\vec{h}\rangle \text{ with } E(\vec{h}) = \frac{\hbar^2 \vec{h}^2}{2m} = \frac{\vec{p}^2}{2m} \text{ with } \vec{p} = \hbar \vec{h}$$

Partition function

$$Z = \text{Tr}(e^{-\beta \hat{H}}) = \sum_{\vec{h}} e^{-\beta \frac{\hbar^2 \vec{h}^2}{2m}} = \frac{V}{(2\pi)^3} \int d^3 \vec{h} e^{-\beta \frac{\hbar^2 \vec{h}^2}{2m}} = \frac{V}{(2\pi)^3} \sqrt{\frac{2\pi m k_B T}{\hbar^2}} = \frac{V}{\lambda^3}$$

We recover the classical stat mech result!

Density matrix

$$\begin{aligned} \langle x' | \hat{\rho}_c | x \rangle &= \sum_{\vec{h}} \frac{e^{-\beta E(\vec{h})}}{Z} \langle x' | h \rangle \langle h | x \rangle = \frac{\lambda^3}{V} \frac{1}{(2\pi)^3} \int d^3 \vec{h} e^{-\beta \frac{\hbar^2 \vec{h}^2}{2m}} \frac{e^{-i\vec{h} \cdot (\vec{x}' - \vec{x})}}{V} \\ &= \frac{1}{V} \exp\left[-\frac{\pi (\vec{x}' - \vec{x})^2}{2 \lambda^2}\right] \text{ when we used } \beta \frac{\hbar^2}{m} = \frac{1}{2\pi} \frac{\hbar^2}{2\pi m k_B T} = \frac{\lambda^2}{2\pi} \end{aligned}$$

(i) $\langle x | \hat{\rho}_c | x \rangle = \frac{1}{V}$, as expected

(ii) The statistical mixture is coherent over a scale $|\vec{x} - \vec{x}'| \sim \lambda$
 $\Rightarrow$ the thermal de Broglie wave length measures the coherence length of the thermal mixture.

$\Rightarrow$ At temperature T , the particle is a wave packet spread over λ

6.3) Quantum gases